

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING APPLICATION UNDER 37 C.F.R. 1.53(b)
WITHOUT FILING FEE AND/OR WITHOUT EXECUTED INVENTOR'S DECLARATION

Assistant Commissioner for Patents
Washington, DC 20231

Atty. Dkt. 2425-14

Date: October 9, 2001

This is a request for filing a new PATENT APPLICATION under Rule 53(b) entitled:

APPARATUS AND METHODS FOR HANDLING TRADING DATA

without a filing fee and/or without an executed inventor's oath/declaration.

This application is made by the below identified inventor(s). Attached hereto are the following papers:

- Newly executed Declaration, Copy of Declaration from prior application, Abstract
60 pages of specification and claims (including 29 numbered claims), and
11 sheets of accompanying drawing/s.
 Record the attached assignment and return to the undersigned.
 Attached is a Power of Attorney.
 Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed
0024671.0	United Kingdom	9 October 2000

, respectively, the entire content of which is hereby incorporated by reference in this application..

- Certified copy(ies) of foreign application(s) is/are attached.
 Certified copy(ies) filed on _____ in prior application no. _____ filed _____
 Please amend the specification by inserting the following paragraph before the first line: --This application claims the benefit of Provisional Application No. _____, filed _____, the entire content of which is hereby incorporated by reference in this application.--
 Please amend the specification by inserting the following paragraph before the first line: --This application is a continuation/ division/ continuation-in-part of Application No. _____, filed _____, the entire content of which is hereby incorporated by reference in this application.--
 Petition filed in prior application to extend its life to insure co-pendency.
 The prior application is assigned to _____
 It is hereby requested that the Examiner consider the art cited in the above parent application(s) by applicant and/or the Examiner for the reasons stated therein. A listing of that art is attached, but pursuant to Rule 98(d) copies are not required.
 Applicant claims "small entity" status. "Small entity" statement attached.
 Please enter the attached and/or below preliminary amendment prior to calculation of filing fee:
 Also attached: Information Disclosure Statement; Non-Publication Request; Nucleotide and/or Amino Acid Sequence Submission; Statement deleting inventor(s) named in prior application; Other:

1. Inventor: Brian T. DAWSON British
(first) MI (last) (citizenship)
Residence: (city) Kirknewton (state/country) United Kingdom
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See attached sheet(s) for additional inventor(s) information!!

Address all future communications to NIXON & VANDERHYE P.C., 1100 North Glebe Road, 8th Floor, Arlington, VA 22201.

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NIXON & VANDERHYE P.C.
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Signature: Larry S. Nixon

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